

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: UNIVERSAL PRIME ALUMINIUM LIMITED		
2	Scrip Code/Name of Scrip/Class of Security: 504673 / EQUITY		
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)		
a.	If under 31(1)(b) then indicate the report for Quarter ending Dated : December 31, 2015		
b.	If under 31(1)(c) then indicate date of allotment/extinguishment		
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



UNIVERSAL PRIME ALUMINIUM LIMITED

Table I - Summary Statement holding of specified securities

Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class of securities		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
							No of Voting Rights	Total as a % of (A+B+C)					
(I)	(II)	(III)	(IV)	(V)	(VI) = (IV)+(V)+(VI)	(VII)As a % of (A+B+C2)	(IX)	(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)	
Promoter & Promoter Group	9	3823778	0	0	3823778	47.9925	0	3823778	47.9925	0	0.0000	0	3823778
Public	28401	4143665	0	0	4143665	52.0075	0	4143665	52.0075	0	0.0000	NA	1710688
Non Promoter - Shares												NA	
Underlying DRs												NA	
Shares Held By Employee Trust												NA	
Total	28410	7967443	0	0	7967443	100.0000	0	7967443	100.0000	0	0.0000		5534466



UNIVERSAL PRIME ALUMINIUM LIMITED

Table II (I)(a)- Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
								No of Voting Rights	Class eg: X	Class eg: Y			No. (a)	As a % of total Shares held(b)		
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)	(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)			
1 Indian Individuals / Hindu Undivided Family			1654163	0	0	1654163	20.7615	0	1654163	20.7615	0	0.0000	0	0.0000	0	1654163
PRAKASH KUMAR MOHTA	AGUPM2260N	3	1472370	0	0	1472370	18.4798	0	1472370	18.4798	0	0.0000	0	0.0000	0	1472370
MAITREYI KANDOI	AFSPM9902J	1	99629	0	0	99629	1.2505	0	99629	1.2505	0	0.0000	0	0.0000	0	99629
JAYANTIKA JATIA	AKWPM8544K	1	82164	0	0	82164	1.0312	0	82164	1.0312	0	0.0000	0	0.0000	0	82164
Central Government / State Government(s)																
Financial Institutions / Banks																
Any Other (Specify)																
Bodies Corporate		6	2169615	0	0	2169615	27.2310	0	2169615	27.2310	0	0.0000	0	0.0000	0	2169615
PRATIBHA MANUFACTURING & MARKETING LTD.	AACCP8287B	1	948025	0	0	948025	11.8987	0	948025	11.8987	0	0.0000	0	0.0000	0	948025
PEE BEE STEEL INDUSTRIES LIMITED	AABCP9424B	1	718645	0	0	718645	9.0198	0	718645	9.0198	0	0.0000	0	0.0000	0	718645
AVADH MERCANTILE COMPANY LTD.	AACCA1137F	1	321483	0	0	321483	4.0350	0	321483	4.0350	0	0.0000	0	0.0000	0	321483
UNIVERSAL AUTOCRAFTS PVT. LTD	AAACU6272B	1	96228	0	0	96228	1.2078	0	96228	1.2078	0	0.0000	0	0.0000	0	96228
UNIVERSAL ENTERPRISES LIMITED	AAACU6089Q	1	67340	0	0	67340	0.8452	0	67340	0.8452	0	0.0000	0	0.0000	0	67340
UNIQUE MANUFACTURING & MARKETING LTD.	AAACU3709R	1	17894	0	0	17894	0.2246	0	17894	0.2246	0	0.0000	0	0.0000	0	17894
Sub Total (A)(2)		9	3823778	0	0	3823778	47.9925	0	3823778	47.9925	0	0.0000	0	0.0000	0	3823778



UNIVERSAL PRIME ALUMINIUM LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									Total as a % of (A+B+C)						No. (a) As a % of total Shares held(b)	No. (a) As a % of total Shares held(b)		No. (a) As a % of total Shares held(b)
									No of Voting Rights	Class eg: X	Class eg: Y							
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)	(X)	(XI) = (VI)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)					
1	Institutions																	
(a)	Mutual Fund		2	123800	0	0	123800	1.5538	123800	0	123800	1.5338	0	0.0000	0	0.0000	119200	
	MUTUAL FUND A/C	AAATC3901G	1	119200	0	0	119200	1.4961	119200	0	119200	1.4961	0	0.0000	0	0.0000	119200	
	Venture Capital Funds																	
(b)	Alternate Investment																	
(c)	Funds																	
	Foreign Venture Capital Investors																	
(d)	Capital Investors																	
	Foreign Portfolio Investor																	
(e)	Financial Institutions / Banks		2	2594	0	0	2594	0.0326	2594	0	2594	0.0326	0	0.0000	0	0.0000	1944	
(f)	Insurance Companies																	
(g)	Provident Funds/ Pension Funds																	
(h)	Any Other (Specify)																	
(i)	Sub Total (B)(1)		4	126394	0	0	126394	1.5864	126394	0	126394	1.5864	0	0.0000	0	0.0000	121144	
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)																	
3	Non-Institutions																	
(a)	Individuals																	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		28298	3140044	0	0	3140044	39.4109	3140044	0	3140044	39.4109	0	0.0000	0	0.0000	891791	



ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	9	628043	0	0	628043	7.8826	628043	0	628043	7.8826	0	0.0000	0	0.0000	NA	628043
PRATIBHA KHAITAN	1	175271	0	0	175271	2.1998	175271	0	175271	2.1998	0	0.0000	0	0.0000	NA	175271
HITESH RAMJI JAVERI	1	100101	0	0	100101	1.2564	100101	0	100101	1.2564	0	0.0000	0	0.0000	NA	100101
BHUPENDRA V GANDHI	1	99131	0	0	99131	1.2442	99131	0	99131	1.2442	0	0.0000	0	0.0000	NA	99131
NBFCs registered (b) with RBI	1	22920	0	0	22920	0.2877	22920	0	22920	0.2877	0	0.0000	0	0.0000	NA	22920
(c) Employee Trusts																
Overseas																
Depositories(holding DRs) (balancing (d) figure)																
(e) Any Other (Specify)																
Trusts																
Hindu Undivided Family																
Non Resident Indians (Non Repat)																
Non Resident Indians (Repat)																
Clearing Member	3	451			451	0.0057	451	0	451	0.0057	0	0.0000	0	0.0000	NA	451
Bodies Corporate	78	222824	0	0	222824	2.7967	222824	0	222824	2.7967	0	0.0000	0	0.0000	NA	45350
P.P.PACKAGING PVT.LTD.,	1	106760	0	0	106760	1.3400	106760	0	106760	1.3400	0	0.0000	0	0.0000	NA	0
Non Resident Individual	8	2989	0	0	2989	0.0375	2989	0	2989	0.0375	0	0.0000	0	0.0000	NA	989
Sub Total (B)(3)	28397	4017271	0	0	4017271	50.4211	4017271	0	4017271	50.4211	0	0.0000	0	0.0000	NA	1589544
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	28401	4143665	0	0	4143665	52.0075	4143665	0	4143665	52.0075	0	0.0000	0	0.0000	NA	1710688

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category	Category & Name of shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957)	Number of Voting Rights			No. of Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
									No of Voting Rights					No. (a)	As a % of total Shares held(b)		
									Class eg: X	Class Total eg: y	Total as a % of (A+B+C)						
* 1	Custodian/DR Holder Employee Benefit Trust (under SEBI (Share based Employee Benefit Regulations, 2014)	(I)	(II)	(III)	(IV)	(V)	(VI) = (IV)+(V)+ (VI)	(VII) As a % of (A+B+C2)	(IX)	(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)			
			0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	
2	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

For Universal Prime Aluminium Ltd.

Authorised Signatory.